

**MULTI-STAGE OPTICAL AMPLIFIER  
AND BROADBAND COMMUNICATION SYSTEM**

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**CROSS-REFERENCE TO RELATED APPLICATIONS**

This application is a continuation-in-part of Provisional Application Serial No. 60/089,426, filed June 16, 1999 and a continuation-in-part of Application Serial No. \_\_\_\_\_, identified as Attorney Docket No. 10 20434-701, both of which are fully incorporated herein by reference.

**BACKGROUND OF THE INVENTION**

**Field of the Invention**

15 This invention relates generally to multi-stage optical amplifiers, and more particularly to broadband communication systems that include one or more multi-stage optical amplifiers.

**Description of the Related Art**

20 The demand for bandwidth continues to grow exponentially on fiber-optic superhighways due to applications such as data communications and the internet. Consequently, there is much effort at exploiting the bandwidth of optical fibers by using higher speeds per channel. Examples include time-division multiplexed systems and wavelength-division 25 multiplexing (WDM).

Most fiber-optic networks currently deployed use standard single-mode fiber or dispersion-shifted fiber (DSF). Standard fiber has a zero dispersion wavelength around 1310 nm, and the dispersion is primarily resulting from the inherent glass dispersion. Currently, most of the 30 terrestrial network in the US and the world is based on standard fiber.

With DSF, waveguide dispersion is used to shift the zero dispersion wavelength to longer wavelengths. A conventional DSF has a zero dispersion wavelength at 1550 nm, coinciding with the minimum loss in a fused silica fiber. However, the zero dispersion wavelength can be shifted 5 around by varying the amount of waveguide dispersion added. DSF is used exclusively in two countries, Japan and Italy, as well as in new long-haul links.

The limiting factors for a fiber-optic transmission line include loss, dispersion and gain equalization. Loss refers to the fact that the signal 10 attenuates as it travels in a fiber due to intrinsic scattering, absorption and other extrinsic effects such as defects. Optical amplifiers can be used to compensate for the loss. Dispersion means that different frequencies of light travel at different speeds, and it comes from both the material properties and waveguiding effects. When using multi-wavelength systems 15 and due the non-uniformity of the gain with frequency, gain equalization is required to even out the gain over the different wavelength channels.

The typical solution to overcoming these limitations is to periodically place in a transmission system elements to compensate for each of these problems. For example, a dispersion compensator can be 20 used to cancel the dispersion, an optical amplifier used to balance the loss and a gain equalization element used to flatten the gain. Examples of dispersion compensators include chirped fiber gratings and dispersion compensating fiber (DCF). Examples of optical amplifiers include erbium-doped fiber amplifiers (EDFAs), Raman amplifiers, and non-linear 25 fiber amplifiers (NLFAs).

Another problem that arises in WDM systems is interaction or cross-talk between channels through non-linearities in the fiber. In particular, four-wave mixing (4WM) causes exchange of energy between

different wavelength channels, but 4WM only phase matches near the zero dispersion wavelength. Consequently, if a fiber link is made from conventional DSF, it is difficult to operate a WDM system from around 1540-1560nm. This turns out to be quite unfortunate because typical 5 EDFA's have gain from 1535-1565nm, and the more uniform gain band is near 1540-1560nm. A second fiber nonlinearity that can be troublesome is modulation instability (MI), which is 4WM where the fiber's nonlinear index-of-refraction helps to phase match. However, MI only phase matches when the dispersion is positive or in the so-called soliton regime. 10 Therefore, MI can be avoided by operating at wavelengths shorter than the zero dispersion wavelength.

As the bandwidth utilization over individual fibers increases, the number of bands used for transmission increases. For WDM systems using a number of bands, additional complexities arise due to interaction 15 between and amplification in multi-band scenarios. In particular, particular system designs are needed for Raman amplification in multi-band transmission systems. First, a new nonlinearity penalty arises from the gain tilt from the Raman effect between channels. This arises because long wavelength channels tend to rob energy from the short wavelength 20 channels. Therefore, a means of minimizing the gain tilt on existing channels with the addition of new WDM channels is required.

To minimize both the effects of 4WM and Raman gain tilt, another technical strategy is to use distributed Raman amplification. In a WDM system with multi-bands, a complexity arises from interaction between the 25 different pumps along the transmission line.

There is a need for greater bandwidth for broadband communication systems. A further need exists for broadband communication systems with reduced loss. Yet another need exists for

broadband communication systems in the short wavelength region (S-band) covering the wavelength range of approximately 1430-1530 nm. Another need exists for broadband communication systems with improved dispersion compensation.

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## **SUMMARY OF THE INVENTION**

Accordingly, it is an object of the present invention to provide improved multi-stage optical amplifiers and broadband communication systems.

10 Another object of the present invention is to provide multi-stage optical amplifiers and broadband communication systems with greater bandwidth.

Yet another object of the present invention is to provide multi-stage optical amplifiers and broadband communication systems in the S band.

15 A further object of the present invention is to provide multi-stage optical amplifiers and broadband communication systems that use standard fiber and DSF with different zero dispersion wavelengths.

Another object of the present invention is to provide a multi-stage optical amplifier and broadband communication system that combines the  
20 C and S bands.

Yet another object of the present invention is to provide multi-stage optical amplifiers and broadband communication systems that combine the C, S and L bands.

25 A further object of the present invention is to provide multi-stage optical amplifiers and broadband communication systems with gain tilt control

It is yet another object of the present invention to provide WDM systems over DSF links by using the "violet" band in Raman amplifiers

with dispersion compensating fiber to avoid nonlinearity limitations from 4WM and MI.

These and other objects of the present invention are achieved in a multi-stage optical amplifier that has an optical fiber including a first 5 length of amplifier fiber and a second length of amplifier fiber. The optical fiber is configured to be coupled to a signal source that produces at least a signal wavelength  $\lambda_s$  and a pump source that produces a pump wavelength  $\lambda_p$ . Pump wavelength  $\lambda_p$  is less than signal wavelength  $\lambda_s$ . Signal input, signal output and pump input ports are each coupled to the 10 optical fiber. A first lossy member is coupled to the optical fiber and positioned between the first and second lengths of amplifier fiber. A pump shunt is coupled to the signal input port and the signal output port.

In another embodiment, the present invention is a broadband communication system with a transmitter and a receiver. An optical fiber 15 is coupled to the transmitter and receiver. The optical fiber includes at least a first Raman amplifier fiber and a second Raman amplifier fiber. The optical fiber is configured to be coupled to at least one signal source that produces at least a signal wavelength  $\lambda_s$  and at least two pump sources that collectively produce a pump beam of wavelength  $\lambda_p$ . Pump wavelength  $\lambda_p$  is less than signal wavelength  $\lambda_s$ . Signal input, signal output and a first pump input port are each coupled to the optical fiber. The first Raman amplifier fiber is positioned between the signal input port and the pump input port. The second Raman amplifier fiber is positioned between the pump input port and signal output port. A second pump input 20 port is coupled to the optical fiber and positioned between the second Raman amplifier fiber and the signal output port. A first lossy member is positioned between the pump input port and the signal output port. The lossy member is lossy in at least one direction so that passage of the pump

radiation of wavelength  $\lambda_p$  from the second to the first length of amplifier fiber is substantially blocked.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

5 Figure 1 is a schematic diagram of one embodiment of a multi-stage optical amplifier of the present invention that includes a pump shunt.

Figure 2 illustrates that the cutoff wavelength of the fiber used with the present invention should be shorter than the pump and signal wavelengths.

10 Figure 3 is a schematic diagram illustrating the inclusion of a dispersion compensating element, a gain equalization element and an add/drop multiplexer to the multi-stage optical amplifier of the present invention.

15 Figure 4 is a schematic diagram of another embodiment of a multi-stage optical amplifier of the present invention that includes two pump shunts.

Figure 5 is a schematic diagram of another embodiment of a multi-stage optical amplifier of the present invention that includes a pump shunt and four lengths of amplifier fiber.

20 Figure 6 is a schematic diagram of one embodiment of a multi-stage optical amplifier of the present invention that includes a pump shunt and two pump sources.

Figure 7 is a schematic diagram of one embodiment of a multi-stage optical amplifier of the present invention that includes a pump shunt and a circulator.

25 Figure 8(a) is a schematic diagram of another embodiment of a multi-stage optical amplifier of the present invention that includes two lengths of Raman amplifier fiber and two pump sources.

Figure 8(b) is a schematic diagram of an embodiment of the present invention with a discrete and a distributed amplifier; where distributed amplification is added with only counter-propagating Raman pumps

5 Figure 8(c) is a schematic diagram of an embodiment of the present invention similar to Figure 8(b) in which mid-span access is not available but bi-directional pumping is allowed.

Figure 9 is a schematic diagram of another embodiment of a multi-stage optical amplifier of the present invention that includes three lengths of Raman amplifier fiber and three pump sources.

10 Figure 10 is a schematic diagram illustrating four pump source whose outputs are combined using wavelength and polarization multiplexing.

Figure 11 is a schematic diagram illustrating eight pump source whose outputs are combined using wavelength and polarization multiplexing.

15 Figure 12 is a schematic diagram illustrating that Brillouin threshold for a laser diode pump source can be minimized with the inclusion of a spectrum broadening device.

Figure 13 is a schematic diagram of a broadband booster amplifier 20 embodiment of the present invention.

Figure 14 is a schematic diagram of a broadband pre-amplifier embodiment of the present invention.

Figure 15 is a schematic diagram of one embodiment of a broadband communication system of the present invention.

25 Figure 16 is a schematic diagram of another embodiment of a broadband communication system of the present invention.

Figure 17 is a schematic diagram of another embodiment of a broadband communication system of the present invention.

Figure 18 is a schematic diagram of another embodiment of a broadband communication system of the present invention.

Figure 19 is a schematic diagram of another embodiment of a broadband communication system of the present invention.

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## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

One embodiment of the present invention, as illustrated in Figure 1, is a multi-stage optical amplifier 10 with an optical fiber 12 including a first length of amplifier fiber 14 and a second length of amplifier fiber 16.

10 Optical fiber 12 is configured to be coupled to a signal source 18 that produces at least a signal wavelength  $\lambda_s$  and a pump source 20 that produces a pump wavelength  $\lambda_p$ . Pump wavelength  $\lambda_p$  is less than signal wavelength  $\lambda_s$ . Signal input port 22, signal output port 24 and pump input port 26 are each coupled to optical fiber 12. A first lossy member 28 is  
15 coupled to optical fiber 12 and positioned between the first and second lengths of amplifier fiber 14 and 16 respectively. A pump shunt 30 is coupled to signal input port 22 and signal output port 24. Optionally, a second lossy member 32 is coupled to pump shunt 30. Pump shunt 30 can be an optical fiber that is integral with optical fiber 12 or a separate optical  
20 fiber.

Pump beam  $\lambda_p$  propagates towards signal input port 22 from first length of amplifier fiber 14 and away from signal input port 22 to second length of amplifier fiber 16.

25 First and second lengths of amplifier fiber 14 and 16 each preferably have a length greater than or equal to 200 m. Pump wavelength  $\lambda_p$  is preferably in the range of 1300 nm to 1530 nm, and the signal wavelength can be in the range of 1430 to 1530 nm. Suitable pump sources 20 include but are not limited to laser diodes (LD's), solid state

lasers, fiber-based cascaded Raman wavelength shifters, cladding pumped fiber lasers and the like.

First lossy member 28 can be an optical isolator, an add/drop multiplexer, a gain equalization member, a dispersion compensation element and the like. One or both of first and second lengths of amplifier fiber 14 and 16 can be Raman amplifiers. Lossy elements 28 can also be placed before and after first and second lengths of amplifier fiber 14 and 16 to prevent disturbance of amplifier performance from spurious reflections from the transmission line. Additionally, a second lossy element 32 can be inserted into pump shunt 30 to reduce the multi-path interference of the signal beam in amplifiers 12 and 14.

Additionally, one or both of first and second lengths of amplifier fiber 14 and 16 can be implemented in dispersion compensating fiber (DCF). A DCF is a fiber whose zero dispersion point is shifted to wavelengths much longer than 1500 nm using the waveguide dispersion property. Consequently, DCF tend to have a small affective core area and significant germanium doping in the core, both of which lead to an enhancement of the Raman gain coefficient. DCF's are generally added periodically to a high-speed transmission link to compensate for the dispersion accumulated in the line.

In one embodiment, multi-stage optical amplifier 10 operates in a violet band between 1430 and 1530 nm. Fiber 12 is a DSF with at least one fiber non-linearity effect and a zero dispersion wavelength. In this embodiment, multi-stage optical amplifier 10 provides gain in the violet band sufficiently far from the zero dispersion wavelength to avoid non-linearity effects.

First length of amplifier fiber 14 preferably has lower noise than second length of amplifier fiber 16. Second length of amplifier fiber 16

has a higher gain than first length of amplifier fiber 14. In one embodiment, first length of amplifier fiber 14 has an optical noise figure of less than 8 dB, and second length of amplifier fiber 16 has a gain level of at least 5 dB.

5 One or more WDM couplers 34 are used to couple a pump path from the signal input port 22 to the signal output port 24. WDM couplers 34 are designed to pass (couple over) the signal band while coupling over (passing) the pump beams. Exemplary WDM couplers 34 include fused-tapered fiber couplers, Mach-Zehnder couplers, thin-film dielectric filters, 10 bulk diachronic elements and the like.

15 Signal input port 22 inputs signal  $\lambda_s$  which is amplified through Raman scattering when first and second lengths of amplifier fiber 14 and 16 are Raman amplifiers. The dispersion and length of the first and second lengths of amplifier fiber 14 and 16 can be selected to be of the same magnitude of dispersion-length product as the transmission link but of the opposite sign of dispersion. First and second lengths of amplifier fiber 14 and 16 are preferably made single spatial mode for pump source 20 and signal wavelengths by making the cut-off wavelength of the gain fiber shorter than the pump wavelength. In particular, the cut-off 20 wavelength is the wavelength below which first and second lengths of amplifier fiber 14 and 16 support more than one mode or becomes multi-mode. If the pump or signal falls into the multi-mode region, then additional noise arising from the beating between different modes may arise.

25 As shown in Figure 2 the fiber cut-off wavelength should be shorter than the pump wavelength  $\lambda_p$ . Pump wavelength  $\lambda_p$  is shorter than signal wavelength  $\lambda_s$ . Multi-stage optical amplifier 10 is pumped so the

net gain equals or exceeds the sum of losses in the transmission link and first and second lengths of amplifier fiber 14 and 16.

Figure 3 illustrates that a dispersion compensating element 33, gain equalization element 29 or an add/drop multiplexer 31 can be included and 5 positioned between first and second lengths of amplifier fiber 14 and 16.

Figure 4 illustrates an embodiment of multi-stage optical amplifier 10 with a third length of amplifier fiber 42. Second lossy member 32 is positioned between second and third lengths of amplifier fiber 16 and 42. A second pump shunt is coupled to second and third WDM couplers 46 10 and 48. Additional lengths of amplifier fiber can also be included.

As illustrated in Figure 5, multi-stage optical amplifier 10 can include a third and a fourth length of amplifier fiber 42 and 50, respectively. In this embodiment, third and fourth lengths of amplifier fiber 42 and 50 are coupled to pump shunt 30. Second lossy member 32 is 15 positioned between third and fourth lengths of amplifier fiber 42 and 50.

In another embodiment of multi-stage optical amplifier 10, multiple pump sources are utilized. In Figure 6, pump source 20 is positioned between first length of amplifier fiber 14 and first lossy member 28. A second pump source 52 is positioned between second length of amplifier 20 fiber 16 and signal output port 24 and is coupled to a second pump input port 54. First pump source 20 produces a pump beam of wavelength  $\lambda_{p1}$  and second pump source 52 produces 52 a pump beam of wavelength  $\lambda_{p2}$ . Wavelength  $\lambda_{p1}$  and wavelength  $\lambda_{p2}$  can be the same or different. Pump sources 20 and 44 collectively produce a pump beam of wavelength  $\lambda_p$ . 25 Pump wavelength  $\lambda_p$  is less than a signal wavelength  $\lambda_s$ .

In another embodiment, illustrated in Figure 7, multi-stage amplifier 10 includes one or more circulators 56 to provide isolation between the first and second lengths of amplifier fiber 14 and 16.

Circulator 56 also is useful as a means of dumping the remaining pump which can be reused elsewhere for monitoring purposes.

As illustrated in Figure 8(a), multi-stage optical amplifier 10 can have an open loop configuration. In this embodiment, optical fiber 12 is 5 pumped by a pump beam generated by pump sources 20 and 52 and first and second lengths of amplifier fiber 14 and 16 are each Raman amplifiers. Optical fiber 12 is preferably single spatial mode at both the signal and pump wavelengths. Again, wavelength  $\lambda_{p1}$  and wavelength  $\lambda_{p2}$  can be the same or different. The pump beam has a wavelength shorter 10 than the signal wavelengths. Pump sources 20 and 52 collectively produce a pump beam of wavelength  $\lambda_p$ . An amplified signal is then output through signal output port 24. Pump sources 20 and 52 are coupled in through WDM couplers 34 and 58 which transmit signal wavelength  $\lambda_s$  but couple over the pump wavelength  $\lambda_p$ . First lossy member 28 is positioned 15 between pump input port 26 and signal output port 24. In this embodiment, the signal flows in a first direction and the pump beam flows in a reverse direction relative to the first direction. First and second lengths of amplifier fiber 14 and 16 are pumped in a counter-propagating manner. It may also be desirous to have bi-directional pumping in second 20 length of amplifier fiber 16 to increase the power amplifier gain without severely impacting the noise figure of multi-stage optical amplifier 10.

Other elements, including but not limited dispersion compensating element 33, gain equalization element and add/drop multiplexer 31 may be included and positioned between first and second lengths of amplifier fiber 25 14 and 16.

In another embodiment, illustrated in Figures 8(b)-8(c), first length of amplifier fiber 14 is a distributed Raman amplifier fiber and second length of amplifier fiber 16 is a discrete Raman amplifier fiber. A

distributed Raman amplifier fiber is an amplifier where at least some part of the transmission link is pumped and involved in amplification. In this embodiment, first lossy member 28 is not positioned between first and second lengths of amplifier fiber 14 and 16. In Figure 8(b) distributed amplification is added with only counter-propagating Raman pumps. When access at a mid-point stage exists alternate band pumps are added at different spatial points to minimize nonlinear interaction between pumps. In Figure 8(c) mid-span access is not available but bi-directional pumping is allowed. The embodiment of Figure 8(c) can be used where alternate band Raman pumps are launched in different directions in order to minimize interaction between pumps.

The open loop embodiment of multi-stage optical amplifier 10 can have three or more lengths of amplifier fiber. Referring now to Figure 9, an embodiment of multi-stage optical amplifier 10 is illustrated with third length of amplifier fiber 42 coupled to a third pump source 60 which is turn is coupled to a third pump input port 62. WDM coupler 64 is coupled to third pump input port 62. Some or all of first, second and third pump sources 20, 52 and 60 can be laser diode sources. Pump source 60 produces a pump beam of wavelength  $\lambda_{p3}$ . Wavelengths  $\lambda_{p1}$ ,  $\lambda_{p2}$  and  $\lambda_{p3}$  can be the same or different. Pump sources 20, 44 and 60 collectively produce pump beam of wavelength  $\lambda_p$ . An amplified signal is then output through signal output port 24.

As illustrated in Figures 10 and 11 each of pump source 20, 52 and 60 can include multiple pump sources whose outputs can be combined using wavelength and polarization multiplexing. Multiple combination ratings 66 and PBS's 68 can be utilized. Additionally, some or all of the multiple pump sources which comprise pump sources 20, 52 and 60 can be laser diodes.

Referring now to Figure 12, a spectrum broadening device 70 can be coupled to each pump source 20, 52 and 60. This is particularly useful for laser diode pump sources. Spectrum broadening device 70 broadens the spectrum while minimizing Brillouin threshold. Suitable spectrum 5 broadening devices 70 include but are not limited to, (i) a grating that is sufficiently broadband that can be chirped and cascade individual wavelengths, (ii) positioning a grating in a laser diode external cavity to cause appropriate line broadening and (iii) a dithering drive. Additionally pump pulsing can be used to broaden the spectrum.

10 The Brillouin threshold is reached when the following condition is satisfied:

$$\tilde{g}_B = P_0^{LD} \cdot \frac{L_{eff}}{A_{eff}} \leq 18$$

where

$P_s^{LD}$  = power of laser diode

$$L_{\text{eff}} = \frac{1}{\zeta} \cdot [1 - \exp^{-\zeta L}] \text{ effective pumping length}$$

$A_{\text{eff}} = \text{effective area of fiber 12}$

$$\tilde{g}_B = \frac{\Delta\gamma_B}{\Delta\gamma_B + \Delta\gamma_B} \cdot g_B$$

$$15 \quad \tilde{g}_B = \frac{\Delta \gamma_B}{\Delta \gamma_B + \Delta \gamma_-} \cdot g_B$$

20 Multi-stage optical amplifier 10 can be an in-line broadband amplifier, a booster amplifier, a broadband pre-amplifier and incorporated in any variety of different broadband communication systems. In another embodiment, illustrated in Figure 13, the present invention is a broadband booster amplifier 72 that includes a multi-stage optical amplifier 10

coupled to a transmitter 73. Transmitter 73 can include a WDM combiner 74 and a plurality of transmitters 76. The plurality of transmitters 76 transmit a plurality of wavelengths. The plurality of wavelengths may include at least a first band of wavelengths and a second band of 5 wavelengths. With the present invention, a variety of different transmitters 76 can be utilized including but not limited to laser diodes, tunable lasers, or broadband sources such as continuum sources or light-emitting diodes.

Figure 14 illustrates a broadband pre-amplifier embodiment of the 10 present invention. Broadband pre-amplifier 78 includes multi-stage optical amplifier 10 coupled to a receiver 80. Receiver 80 can include a WDM splitter 82 coupled to a plurality of receivers 84. Suitable receivers 84 include but are not limited to germanium or InGaAs or InGaAsP detectors followed by electronics well known to those skilled in the art.

15 In another embodiment, illustrated in Figure 15, the present invention is a broadband communication system 86. In this embodiment, multi-stage optical amplifier 10 is an in-line broadband amplifier. Multi-stage optical amplifier 10 is coupled to one or more transmitters 73 and one or more receivers 80.

20 Figure 16 illustrates another embodiment of the present invention which is a broadband communication system 88 that includes multi-stage optical amplifier 10 coupled to a broadband pre-amplifier 90. Multi-stage optical amplifier 10 is coupled to one or more transmitters 73 and broadband pre-amplifier 90 is coupled to one or more receivers 80.

25 Figure 17 illustrates yet another embodiment of a broadband communication system 92 with a broadband booster amplifier 94 coupled to multi-stage optical amplifier 10. One or more transmitters 73 is

coupled to broadband booster amplifier 94. One or more receivers 80 is coupled to multi-stage optical amplifier 10.

Another embodiment of a broadband communication system 96 is illustrated in Figure 18. In this embodiment, an in-line amplifier 98 is 5 coupled to receiver 80 and to a transmitter 100. Transmitter includes multi-stage optical amplifier 10 coupled to transmitter 73.

Figure 19 illustrates another broadband communication system 102 of the present invention. Broadband communication system 102 includes 10 multi-stage optical amplifier 10 coupled to broadband booster amplifier 94 and broadband pre-amplifier 90. Broadband booster amplifier 94 is coupled to one or more transmitters 73. Broadband pre-amplifier 90 is coupled to one or more receivers 80.

While embodiments of the invention have been illustrated and described, it is not intended that these embodiments illustrate and describe 15 all possible forms of the invention. Rather, the words used in the specification are words of description rather than limitation, and it is understood that various changes may be made without departing from the spirit and scope of the invention.

What is claimed is: